

# Comparative Study between Superiorly Based Pharyngeal Flap and Sphincteroplasty in Treatment of Velopharyngeal Insufficiency after Cleft Palate Repair

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## ABSTRACT

**Background:** Despite technical advances in cleft palate repair, the post-surgical development of palatal fistula and VPI is not uncommon. Approximately 20-38% of children who undergo cleft palate repair develop velopharyngeal insufficiency (VPI). Surgical treatment of the VPI sphincter is directed at decreasing the horizontal cross-sectional surface area of the sphincter's tissue around the pharynx by the interposition of pedicled pharyngeal flaps (splitting one large port into two smaller ones) or repositioning the posterior and anterior borders of the sphincter by the introduction of musculo-cutaneous tissue flaps (Sphincteroplasty).

**The Aim of this Work:** To compare results of pharyngoplasty and superiorly based pharyngeal flap in the treatment of velopharyngeal insufficiency after cleft palate repair.

**Patients and Methods:** A random group of twenty-two patients with VPI after cleft palate repair was studied. Patients were prone to three diagnostic procedures: phonetic analysis, preoperative study - Fessile fiber optic nasopharyngoscopy, 2-Nasometric evaluation, 3-Airflow recording. Patients were classified into two random groups: for patients pharyngoplasty was done. Superiorly based pharyngeal flap was done for the rest of the patients. Nasometric evaluation and airflow recording were repeated after phonotherapy (3-6 months) postoperatively and percent age drop in nasometer for nasal and oral sentences were calculated. Fessile fiber optic nasopharyngoscopy was repeated after 3-6 months postoperatively.

**Results:** In group I, 3 patients had persistence of nasal tone postoperatively (two patients had a mild pre-erect and one patient had initial pre-erect) giving incidence of complications 2-3%. According to results of postoperative airflow recording of this group, 8 patients were categorized as good results, two as moderate and one as poor result. In group II, one patient had partial dehiscence and persistence of nasal tone postoperatively, two patients had hypotension, one of the developed sleep apnea. Incidence of complications in this group was 2-3%. According to results of postoperative airflow recording in this group, 9 patients were categorized as good results, one as moderate and one as poor result. Percent age drop in nasometer in nasal sentence in group I & II was 35.55% and 2.9% respectively. Percent age drop in nasal and oral sentence in group I & II was 5.95% and 9.4% respectively.

**Conclusion:** Both sphincter pharyngoplasty and superiorly based pharyngeal flap proved to be effective in the treatment of velopharyngeal insufficiency with accepted incidence of complications. Sphincter pharyngoplasty had better results in patients with good palatal and pharyngeal components on preoperative videoescopy. Superiorly based pharyngeal flap had better results in patients with poor palatal and pharyngeal components on preoperative videoescopy.

## INTRODUCTION

Velopharyngeal insufficiency (VPI) includes any structural and/or neuro-muscular disorder of the velum and/or pharynx as a result of the effect of nasopharynx in which interference with normal sphincteric closure occurs. VPI insufficiency (VPI) may result from anatomic, congenital, or acquired conditions of disorders. It is diagnosed clinically by a constellation of symptoms that include palatopharyngeal incompetence, resonance (hypernasality), articulation, escape of air through the nose (nasal emissions) and aberrant facial expressions (grimacing) [1].

The source of VPI may be a defective structural or functional (e.g., too short or closing muscle) or VPI mechanism that is neurologic injury - related (e.g. cerebral palsy, dysthenia, agrapism, head injuries and cerebrovascular accidents) or the result of faulty learning (e.g. phone-specific nasal emission). Most commonly, however, the plastic surgeon will encounter VPI in the postoperative pharyngoplasty [2].

Despite technical advances in cleft palate repair, the post-surgical development of palatal fistula and VPI is not uncommon. Approximately 20-38% of children who undergo cleft palate repair develop velopharyngeal insufficiency (VPI) [3].

In 1875, after a detailed study of VP physiology, Pons and others were the first to tether the uvula to the pharynx in an attempt to restore competence of the velopharynx during speech. Since that time the use of various techniques designed correct the VP. A large number of surgical procedures have been devised to restore the physiologic closure of this sphincter-velopharynx [4].

Surgical reconstruction of the VP sphincter is directed at decreasing the horizontal cross-sectional surface area of the sphincter's tissue boundaries. This can be achieved by the interposition of pedicled pharyngeal flaps (splitting one large port into two smaller ones) or repositioning the posterior and anterior borders of the sphincter by the introduction of fasciocolic tissue flaps (Sphincteroplasty) [5].

The pharyngeal flap has proven to be the single most popular method of treating individuals with VP. However, the procedure was initially described in the 19th century and later refined by surgeons such as Rosenthal, Padgett, Sarniero-Rosse and Cornish [6].

Hynes initially described sphincteroplasty in 1950, but its use in VP management has only recently become popular as a result of modifications by Orticochea and Carlson [7].

The aim of this work is to compare results of pharyngoplasty and superiorly based pharyngeal flap in the treatment of velopharyngeal insufficiency after cleft palate repair.

## PATIENTS AND METHODS

A random group of twenty-two patients with VP after cleft palate repair was studied in the Department of Pediatric Surgery, Cairo University and in Hearing and Speech Institute.

The study was conducted during the period from July 2000 to March 2002.

Full history was obtained from each patient with physical examination stressing on presence of fistula, hypernasality, nasobuccal reflux or regurgitation, compensatory articulation and facial grimacing. A type of palate repair was excluded as in most cases it was difficult to be sure of it.

*Patients were Prone to Three Diagnostic Procedures at Phoniatic Clinic, Preoperatively:*

- *Flexible fiber optic nasopharyngoscopy:* Following Groff et al. [8] patients were classified into four categories.

a- Short palate, good palate velopharyngeal closure, poor or limited velopharyngeal closure, ± posterior pharyngeal closure.

- Good velopharyngeal closure, poor palate velopharyngeal closure, ± posterior pharyngeal closure.

c- Short palate, large P gap, and good palate and velopharyngeal closure, ± posterior pharyngeal closure.

d- Limited or poor closure of the palate and velopharyngeal and posterior pharyngeal flaps.

2- *Nasometric Evaluation:* Nasometer is a device for calculating the ratio between the nasal and oral output (percent nasalance). It consists of three microphones:

- Nasal and oral microphones.

2- Electronic circuits for processing the microphone signals.

3- A personal computer for calculating nasalance values.

A short simple Arabic sentence and oral sentence were used.

$$\text{Percent nasalance} = \frac{N}{N+O} \times 100$$

3- *Tape recording:* According to results of postoperative tape recording, patients were categorized as good, moderate and poor results.

Patients were classified into two random groups: for patients pharyngoplasty was done, a technique used as that described by Carlson and Sierston [7]. Superiorly based pharyngeal flap was done for the rest of the patients. Michalek, Sadolet et al. [9]. Both techniques are shown in illustrations (Figs. 5).

Oral feeding was allowed 2 hours postoperative and patients were discharged 8 hours postoperative.

Phonotherapy started one month postoperative for patients and continued for six sessions.

Nasometric evaluation and tape recording were repeated after phonotherapy (3 months postoperative) and percent age drop in nasometer for nasal and oral sentences were calculated.

Flexifiber optic nasopharyngoscopy was repeated after 3-4 months postoperative.

All patients were followed regularly every 2 weeks. The best period of follow-up was 5 months with the minimum period of 0 months.

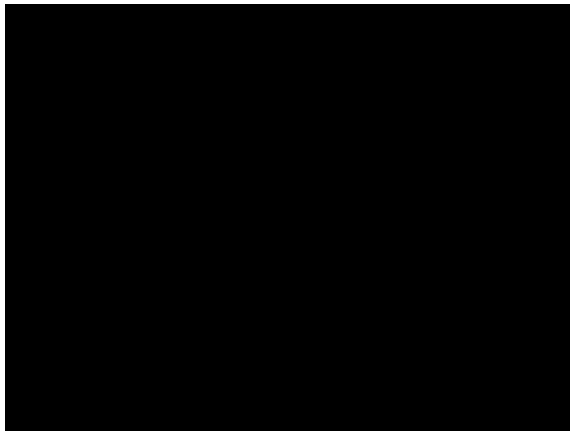


Fig. (1) Flexifiber optic nasopharyngoscopy showing Category B with good posterior pharyngeal coating.  
A: Lateral pharynx.  
B: Pillar.  
C: Posterior pharynx.

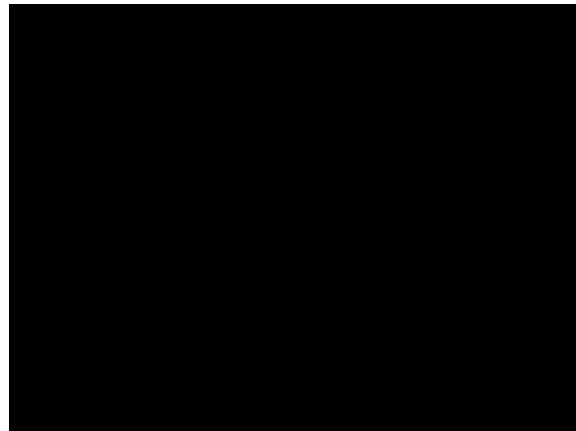


Fig. (2) Flexifiber optic nasopharyngoscopy showing Category C with poor posterior pharyngeal coating.  
A: Lateral pharynx.  
B: Pillar.  
C: Posterior pharynx.

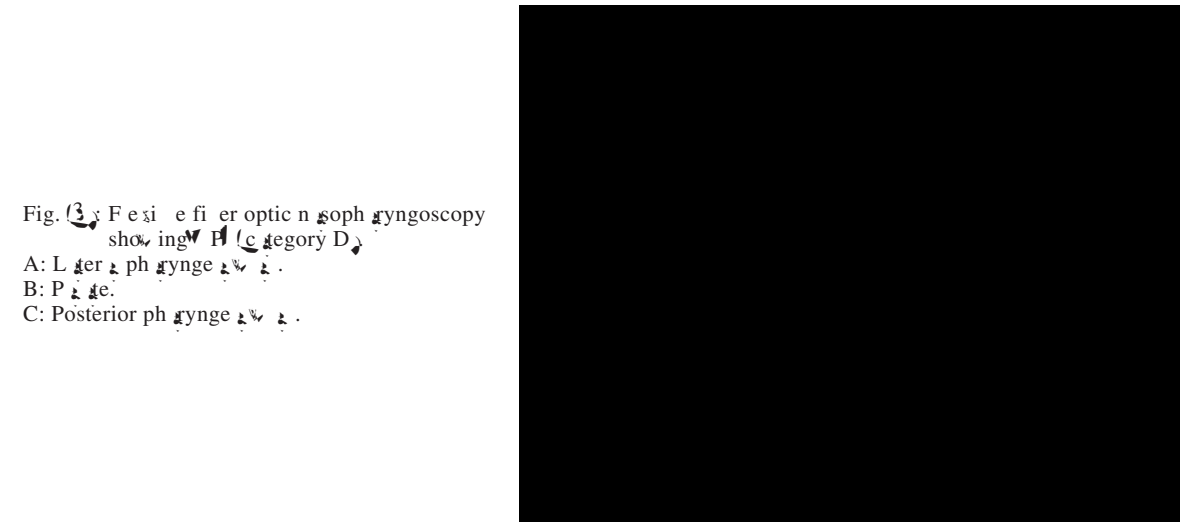
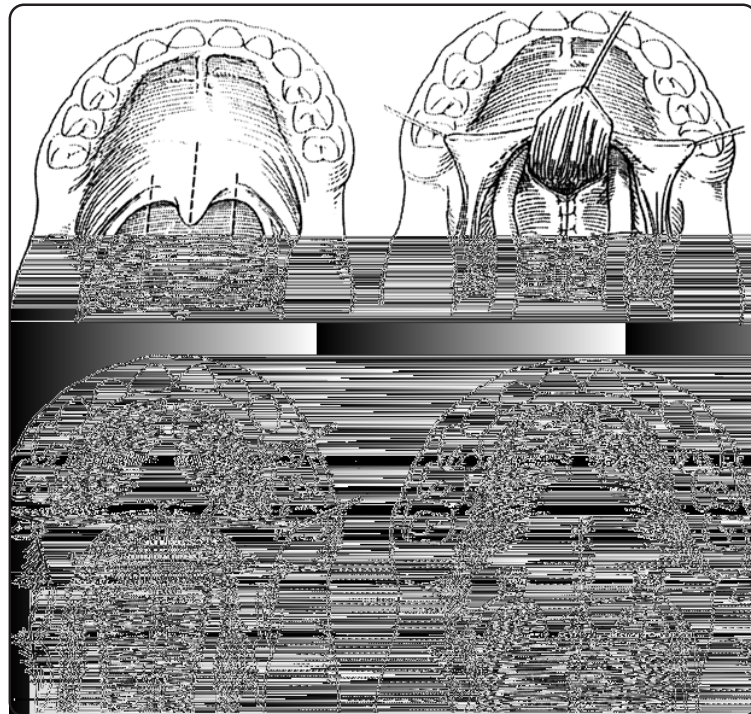


Fig. (3) Flexifiber optic nasopharyngoscopy showing Category D.  
A: Lateral pharynx.  
B: Pillar.  
C: Posterior pharynx.



Fig. (4) Sphincter pharyngoplasty. (Soft palate is artificially split for diagnostic purposes and is not performed as part of the procedure.) A- Superiorly suspended fasciopericardial flap design. B- Flap elevation and reorientation posteriorly. C- A horizontal posterior pharyngeal tube is created by interdigitation and closure of the donor defects and growths the horizontal dimension. (Quoted from: Michalek S, et al., 1999)[9].

Fig. (5) Superiorly based pharyngeal flap. A- Division of soft palate and pharyngeal flap design. B- Functional suspension of uvula off the prevertebral fascia. The posterior mucosa is repositioned and clefts are passed nasally for the fashioning of aerports. C- Mucosal flaps are elevated from the nasal sides of the soft palate and sutured to create nasals closure around the clefts. D- The uvula is sutured to complete the closure. (Quoted from: Michalek S, Gole et al., 1999) [9].



**RESULTS**

A random group of twenty-two patients with HNS studied as of the were subjected to cleft palate repair with no fistulae of the non-perceptible elevation had nasal resonance. Second operation was done at least 6 months after cleft palate repair with a 3-month period.

*They were Classified Into Two Random Groups:*

*Group I:* Patients for whom pharyngoplasty was done.

*Group II:* Patients for whom superiorly based pharyngeal flap was done.

In this study the postoperative evaluation was based on tape recording, statistical analysis for nasometric data and findings of flexible fiberoptic nasopharyngoscopy.

Tape recording was categorized into three degrees: good, moderate and poor results.

Concerning group I, they were seen girls and four boys. Age of patients ranged between 5 years to 23 years with a mean age of 3 years and 9 months.

Preoperative findings according to laryngoscopy are shown in table 1.

Table 1: Preoperative findings of laryngoscopy of group I

Category	No. of patients	Preoperative findings	Closure pattern
A	1	Short palate, good palate tone, poor or limited lateral pharyngeal tone, ± posterior pharyngeal tone	Coronal
B	3	Good lateral pharyngeal tone, poor palate elevation, ± posterior pharyngeal tone	Sagittal
C	1	Short palate, large P gap, good palate and lateral pharyngeal tone, ± posterior pharyngeal tone	Circular
D	1	Limited or poor tone of the palate and lateral posterior pharyngeal tone	Circular

In this group, 3 patients had persistence of nasal tone postoperatively (100% patients had good intubation and one patient had initial intubation, giving incidence of complications 23% (three patients out of 13). It is important to mention that: the three patients had poor palate and lateral

pharyngeal incompetence on preoperative videofluoroscopy. According to results of postoperative videotaping of this group, 8 patients were categorized as good results, two as borderline and one as poor result.

Concerning group II, they were 4 girls and 5 boys. Age of patients ranged between 1 year to 2.8 years with mean age of 3 years and 7 months.

Preoperative findings according to videofluoroscopy are shown in Table 2.

Table 2: Preoperative findings of videofluoroscopy of group II

Category	No. of patients	Preoperative findings	Course pattern
A	5	Short palate, good palatal incompetence, poor or limited velopharyngeal incompetence, ± posterior pharyngeal incompetence	Coronoid
B	3	Good velopharyngeal incompetence, poor palatal elevation, ± posterior pharyngeal incompetence	Sagittal
C	3	Short palate, large gap, good palate and velopharyngeal incompetence, ± posterior pharyngeal incompetence	Circular
D	2	Limited or poor incompetence of the palate and velopharyngeal incompetence	Circular

In this group, one patient was complicated by partial dehiscence and persistence of nasal tone postoperatively. Two patients had hypotonia, one of the deepened sleep apnea. Incidence of complications in this group is 23% (three patients out of 13). It is important to mention that: the two patients who had deepened hypotonia had good palate and velopharyngeal incompetence on preoperative videofluoroscopy. According to results of postoperative videotaping in this group, 9 patients were categorized as good results, one as borderline and one as poor result.

Statistical analysis for nasometric data and postoperative percent age drop in nasometer both for oral and nasal sentences for groups I & II is shown in Table 3 and Figs. 9-11.

Table 3: Nasometric data and postoperative percent age drop in nasometer both for oral and nasal sentences for groups I & II.

	Group I	Group II
<b>Main differences:</b>		
Nasal	-2.7	-3.58
Oral	-30.927	-28.7
<b>Percentage drop:</b>		
Nasal	35.55%	2.7%
Oral	5.95%	9.7%

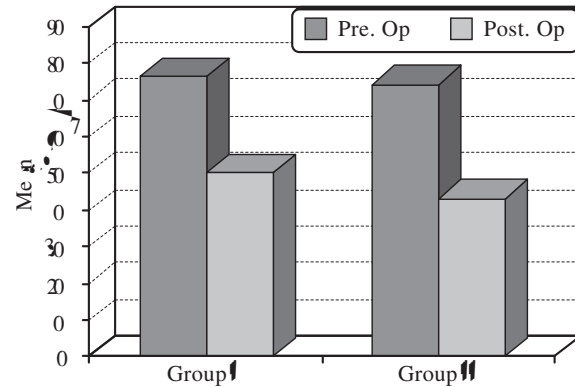


Fig. 9: Pre and postoperative nasometric data for oral and nasal sentences for groups I & II.

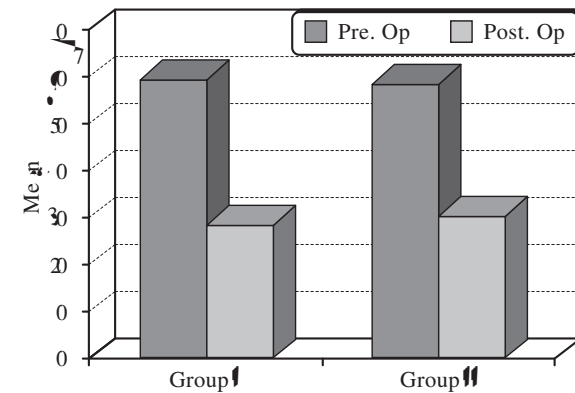


Fig. 10: Pre and postoperative nasometric data for oral and nasal sentences for groups I & II.

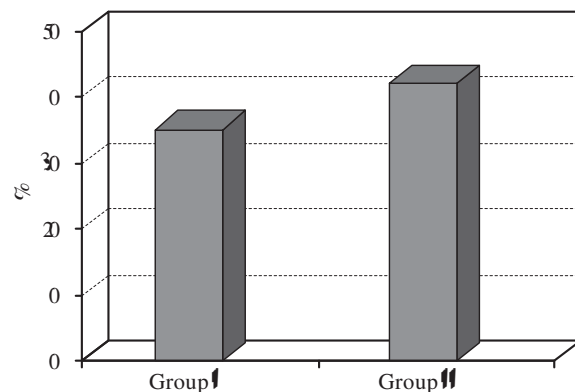


Fig. 11: Percent age drop in nasometer for oral and nasal sentences for groups I & II.



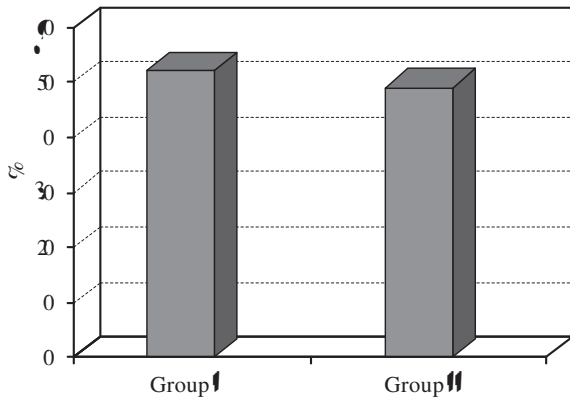


Fig. (9) Percent age drop in nasometer for or & sentence for groups I & II.

No or post-operative complications were encountered in both groups, here were no recorded cases of postoperative feeding or pulmonary complications. Patients were discharged in the same night of operation (every in the study they were discharged 2 hours postoperative).

Fig. (10) Postoperative laryngoscopy after sphincter pharyngoplasty showing complete closure of the VP sphincter.

Fig. (11) Postoperative laryngoscopy after sphincter pharyngoplasty showing incomplete closure of the VP sphincter (Persistence of nasality with pre-emptive).

DISCUSSION

Even in this modern era of plastic procedures that incorporate plastic engineering and intracerebral microvascular repair, the incidence of VPI has been reported as high as 38% in some series [10].

A comprehensive assessment of the oropharyngeal function in both perceptual speech and an instrumental evaluation, relevant strategies based on any one of these assessments are prone to failure [11].

In this study assessment of the oropharyngeal function was based on pre and postoperative findings of flexible fiberoptic nasopharyngoscopy, nasometric evaluation and tape recording.

It is difficult to judge the degree of nasality by listening to speech. For this, nasometer is a useful device to comment upon the degree of oropharyngeal insufficiency and to compare between pre and postoperative nasalance to judge upon the improvement of the patients.

In ideal circumstances, the goal of surgical management is to eliminate the symptoms of hypernasality and audibility of nasality, the extent to which this goal is realized depends on an appreciation of the preoperative anatomy, physiology, and mechanics and the appropriateness of the pharyngoplasty that is performed [12].

Utilizing the superior constrictor muscle and suspensory ligament from the posterior pharyngeal wall, a pedicled flap is created that inserts into the soft palate, his results in permanent midline connection between the nasopharynx and oropharynx, which divides the VP port into two separate ports [13].

The intraoperative use of rubber catheters with non-inflating balloons and wide pharyngeal flap is commonly used to create separate ports that point in the direction of the nasopharynx and oropharynx to prevent the development of a VP port. Intraoperative over-tightening of the port combined with scar contracture, however, runs the risk of nasality by obstruction and seepage, here is currently greater interest in individualizing flap width to the amount of pharyngeal contraction present rather than to a predetermined port size.

Studies have shown success rates for pharyngeal flap surgery of 80-90%, the success rate depends on the investigator. Certain studies classify patients with hyponasality as success. Other studies classify post-surgical hyponasality as failure. In

these studies, the success rate is so low that we [9]. In this study the success rate of superior y-bridged pharyngeal flap is 2.9%. In this study, if cases with post-surgical hypotonia were classified as success, the success rate of superior y-bridged pharyngeal flap is 90.9%. This explains the wide range of success rate in different series.

Pharyngeal flaps can be dangerous if performed in patients with unusually narrow upper airways. Patients requiring surgical P-bridge entrophy have risk factors for upper airway obstruction and are preferentially recommended for sphincter pharyngoplasty based on reports of its initial effect on the airway [14,15].

In the pharyngeal flap, the sphincter pharyngoplasty is a partial circumferential narrowing that includes the anterior and posterior aspects of the velopharyngeal port but maintains the centric opening [16].

Sphincter pharyngoplasty has been associated with lower success rates of 0-10%. It is concluded that the success rate can reach approximately 80% with appropriate patient selection [7].

In this study sphincter pharyngoplasty was performed for patients, three patients out of had persistence of nasals tone giving incidence of complications 2.3% and success rate 2.9%. It is important to mention that the three patients had poor palatal and velar pharyngeal flaps on preoperative videofluoroscopy.

In group I (patients for whom superior y-bridged pharyngeal flap), the two patients who developed hypotonia had good palatal and velar pharyngeal flaps on preoperative videofluoroscopy.

Coming in consideration the results and number of patients in this study, sphincter pharyngoplasty had better results in patients with good palatal and velar pharyngeal flaps on preoperative videofluoroscopy. Also, superior y-bridged pharyngeal flap had better results in patients with poor palatal and velar pharyngeal flaps on preoperative videofluoroscopy.

**Conclusion:**

Velopharyngeal insufficiency is a correctable condition in most instances if it has been carefully evaluated preoperatively and the appropriate surgical correction is performed successfully followed by speech therapy and follow-up.

Both sphincter pharyngoplasty and superior y-bridged pharyngeal flap produced effective treatment of velopharyngeal insufficiency with accepted incidence of complications.

Coming in consideration the results and number of patients in this study, sphincter pharyngoplasty had better results in patients with good palatal and velar pharyngeal flaps on preoperative videofluoroscopy. Superior y-bridged pharyngeal flap had better results in patients with poor palatal and velar pharyngeal flaps on preoperative videofluoroscopy.

The success rate of repair of velopharyngeal insufficiency by selecting the most appropriate procedure based on the anatomy and location of the velopharyngeal port.

The greatest future challenge, therefore, is to develop and coordinate multicenter randomized controlled studies to evaluate treatment outcomes. This would greatly aid in producing better speech between different diagnosis and different management.

Additionally and Lastly, the comprehensive approach gives the child the greatest opportunity for best outcomes.

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